

020304  
02570 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(CLAIMING BENEFIT TO PROVISIONAL APPLICATION)

(New Nonprovisional Application Under 37 CFR § 1.53(b))

Attorney Docket No.

15437-0601

## TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Ozgur C. Leonard, et al., entitled MULTI-LEVEL RESOURCE LIMITS FOR OPERATING SYSTEM PARTITIONS, for a(n):

- (X) Original Patent Application that claims the benefit of prior U.S. Provisional Application 60/469,558, filed May 9, 2003.  
(X) A statement claiming priority under 35 USC § 119(e) has been added to the specification.
- ( ) Continuing Application (prior application not abandoned):  
( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_ Filed on: \_\_\_\_\_  
( ) A statement claiming priority under 35 USC § 120 has been added to the specification.

### Enclosed are:

- (X) Specification 29 Total Pages; (X) Drawing(s) 10 Total Sheets; (X) Cover Sheet 1 Page  
(X) Oath or Declaration: 5 Pages  
(X) A Newly Executed Combined Declaration and Power of Attorney:  
(X) Signed. ( ) Unsigned. ( ) Partially Signed.  
( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  
( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference in its entirety for all purposes.  
( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).  
( ) Power of Attorney. (X) Return Receipt Postcard.  
( ) Associate Power of Attorney. (X) A Check in the amount of \$882.00 for the Filing Fee.  
( ) Preliminary Amendment. ( ) Information Disclosure Statement and Form PTO-1449.  
(X) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  
( ) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.  
( ) A Certified Copy of Priority Documents (if foreign priority is claimed).  
( ) Applicant(s) is entitled to small entity status. See 37 CFR 1.27.  
( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.  
(X) Recordation of Assignment Cover Sheet and **executed** Assignment.  
( ) Other: \_\_\_\_\_

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	24	4	\$18.00	\$ 72.00
Independent Claims	3	0	\$86.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$40.00
Basic Filing Fee				\$770.00
Total Filing Fee				\$ 882.00

Charge \$ \_\_\_\_\_ to Deposit Account 50-1302 pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: Kirk D. Wong  
Kirk D. Wong, Reg. No. 43,284

Date: February 3, 2004

Correspondence Address:  
CUSTOMER CODE

**29989**

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37-CFR § 1.10 on the date indicated below and is addressed to:

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P.O. Box 1450  
Alexandria, VA 22313-1450

By: Annette Jacobs  
Typed Name: Annette Jacobs  
Express Mail Label No.: EV323351618US  
Date of Deposit: February 3, 2004

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<b>FEE TRANSMITTAL for FY 2004</b>				<b>Complete if Known</b>																																																																																																																																																																																																																											
<i>Patent fees are subject to annual revision, Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 AND 1.28</i>				Application Number		NYA																																																																																																																																																																																																																									
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<b>9. SUBTOTALS</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1001</td><td>770</td><td>2001</td><td>385</td><td>Utility filing fee</td><td>770.00</td></tr> <tr><td>1002</td><td>340</td><td>2002</td><td>170</td><td>Design filing fee</td><td></td></tr> <tr><td>1003</td><td>530</td><td>2003</td><td>265</td><td>Plant filing fee</td><td></td></tr> <tr><td>1004</td><td>770</td><td>2004</td><td>385</td><td>Reissue filing fee</td><td></td></tr> <tr><td>1005</td><td>160</td><td>2005</td><td>80</td><td>Provisional filing fee</td><td></td></tr> <tr><td colspan="5"><b>SUBTOTAL (1)</b></td><td><b>(\$)</b> <b>770.00</b></td></tr> </tbody> </table>				Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	1001	770	2001	385	Utility filing fee	770.00	1002	340	2002	170	Design filing fee		1003	530	2003	265	Plant filing fee		1004	770	2004	385	Reissue filing fee		1005	160	2005	80	Provisional filing fee		<b>SUBTOTAL (1)</b>					<b>(\$)</b> <b>770.00</b>																																																																																																																																																																																		
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<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor		Ozgur C. Leonard, et al.
	Title	Multi-Level Resource Limits for Operating System Partitions	
	Atty. Docket Number		15437-0601

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 3, 2004

Date

  
Signature

Kirk D. Wong (Reg. No. 43,284)

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

**Burden Hour Statement:** This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**